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Initiation Plan / GBFF PPG

Empowered lives.
Resilient nations.

Project Title: *Promoting Partnerships and Nature-Positive Economy for KM-GBF Implementation in Thailand from Policy to Action (ProNature)*

Country: Thailand

Country Programme Outcome: *Outcome 1: Thailand's transformation into an inclusive economy based on green, resilient, low-carbon, sustainable development is accelerated.*

Gender Marker rating: Gen 2

SES Pre-Screening Categorization: *Exempt from pre-SESP (GBFF Submission)*

Quantum Project ID: 01005815 **Quantum Award ID: 1547039** **PIMS number: *9994*** **Management Arrangement: DIM**	**Total budget: US$150,000** **Allocated resources:** • **GBFF US$150,000** • **Government** • **UNDP**

AGREED BY

Day/Month/Year

Niamh Collier-Smith
UNDP Resident Representative

Signature Signed by: D8EBC86795B84C6...

Date 12-Apr-2026

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I. BRIEF DESCRIPTION OF THE INITIATION PLAN/GBFF PPG

Objective & Final Outputs

The objective of the GBFF PPG is to develop the project concept into a full project: *Promoting Partnerships and Nature-Positive Economy for KM-GBF Implementation in Thailand from Policy to Action (ProNature)*. As described in the project concept (PPG Request), this project aims to promote a nature-positive economy in Thailand by integrating biodiversity considerations into national economic policies, mobilizing private sector finance, and strengthening public–private partnerships to support sustainable conservation and business practices.

The project seeks to address key systemic barriers, including fragmented policies, limited private investment in biodiversity, and insufficient community participation. It will support the development and mainstreaming of national policy recommendations and business guidelines to ensure biodiversity is embedded within regulatory frameworks and operational practices. Through the Thailand Mangrove Alliance (TMA), the project will strengthen public–private partnerships to mobilize additional resources for mangrove restoration and coastal ecosystem conservation.

A central focus of the project is to catalyze innovative biodiversity finance. This includes the design and piloting of blended finance and de-risking mechanisms, such as concessional instruments and guarantees, to unlock domestic private capital for nature-positive enterprises. Local communities, particularly women and community-based enterprises, will be empowered through technical assistance, access to finance, and integration into sustainable value chains.

On the ground, the project will contribute to the restoration and sustainable management of approximately 3,577 hectares of mangroves and associated wetlands, generating significant climate mitigation benefits through carbon sequestration. It is expected to benefit around 30,000 people across 145 local communities by enhancing livelihoods through biodiversity-positive business models.
Through strengthened institutional capacity, improved policy coherence, enhanced partnerships, and increased financial flows for biodiversity, the project aims to reduce biodiversity loss, improve ecosystem resilience, and position Thailand as a regional leader in advancing a nature-positive economic transition aligned with national and global biodiversity commitments.

The following information is to be consulted as background for the GBFF PPG phase:

- PPG request technically cleared by GEF CEO;
- Comments from internal light risk screening of PISC submission and PPG request
- Comments from GEF Secretariat
- GBFF CEO Endorsement Request Form and associated guidance included therein
- UNDP policies and procedures
- UNDP-GEF guidance notes on GEF project development (which will be provided by the RTA) and
- GEF polices on: Project Cancellation; Fee Policy for GEF Partner Agencies; Project and Program Cycle; and Minimum Fiduciary Standards for GEF Partner Agency (in particular sections dealing with the required separation of oversight and execution support services). All GEF policies are available here.
- GBFF project cycle as per the Global Biodiversity Framework Fund Project Cycle Policy

The final outputs of the GEF PPG are:

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1. UNDP-GEF Project Document (ProDoc).
2. Mandatory annexes to the ProDoc ;
3. GBFF CEO Endorsement Request and
4. Validation Workshop report (This is mandatory for moderate, substantial and high risk projects)

Any additional studies and other reports produced under the GEF PPG and not included in Annex to the project document will be submitted to UNDP and saved for future reference.

Key Dates for the GBFF PPG

Milestone	Date	Notes
Internal submission date for BPPS review and clearance	24 October 2026	GBF Fund Project: within 7 months of PPG request approval
First GEF Submission Deadline for CEO Endorsement	24 December 2026	GBF Fund Project must be within 9 months of PPG Approval **Failure to submit a CEO ER to the GEF Sec by this date will lead to the automatic cancellation of the project by the GEF Sec. unless extension is granted under force majeure circumstances.**
CEO Endorsement Deadline for FSP	24 March 2027	GBF Fund Project: must be within 12 months of PPG approval. **If the project has not been CEO endorsed by the deadline, it will be automatically cancelled by the GEF Sec.**

Management Arrangements

The UNDP Thailand Country Office will lead the project development process and manage the GBFF PPG budget in full consultation with the BPPS VF unit and the Regional Technical Adviser. The GBFF PPG Quantum budget is presented in *Section IV: Total Budget and Work Plan*.

As appropriate, a Working Group (TWG) will guide the GBFF PPG team and review and endorse the GBFF PPG deliverables. The Working Group is responsible for ensuring that the deliverables outlined in this PPG are completed on time and in line with UNDP and GEF requirements. The Biodiversity-Based Economy Development Organization (BEDO) will chair the Working Group. Working Group members will include representatives from UNDP Thailand CO, UNCDF Asia Pacific Regional Office, Department of Marine and Coastal Resources, relevant government partners, and key stakeholders as well as Regional Technical Advisor (RTA) as appropriate.

The GBFF PPG team will be composed of the consultancies described in the draft Terms of Reference (TORs) in Annex 2.

II. STAKEHOLDER ENGAGEMENT, PUBLIC DISCLOSURE, AND OTHER REQUIREMENTS

To ensure strong country ownership and in line with the stakeholder engagement requirements outlined in UNDP's Social and Environmental Standards (SES), the SES Guidance Note of Stakeholder Engagement,

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the GEF's Guidelines on the Implementation of the Policy on Stakeholder Engagement and the GEF Policy on Gender Equality, the development of the project to be undertaken during this PPG phase will be done in full consultation and close engagement with government, CSO and other relevant stakeholders – in particular those who will benefit from and be directly involved in the implementation of the project (i.e., direct project beneficiaries) and those who may be impacted (positively or negatively) by the project. Stakeholder Engagement and analysis must be conducted in an inclusive and gender-responsive manner so that the rights of women and men and the different structural barriers, knowledge, needs, roles, and interests of women and men are recognized and addressed.

Careful and complete documentation of stakeholder engagement is vital. Detailed evidence of all consultations will be prepared and submitted to UNDP. A list of the consulted stakeholders and details of the associated meetings will be included in the Comprehensive Stakeholder Engagement Plan (see section B-a below).

If the fully designed project has an overall Social and Environment Screening Procedure (SESP) categorization of moderate, substantial, or high, then the following disclosure requirements apply:

1. A final validation workshop report will be prepared summarizing the outcomes of the validation workshop and other consultations undertaken during the PPG phase. This report will become a key reference document should an environmental and social complaint/grievance be filed during project implementation. This is mandatory for moderate, substantial and high risk projects.
2. The UNDP-GEF project document, GBFF CEO Endorsement Request Form, SESP and related draft management plans and frameworks, and other relevant information/documents will be made available to the public on the UNDP Country Office website or open.undp.org. These documents should be available 30 days before the LPAC meeting for moderate risk projects, 60 days in advance for substantial risk projects, and 120 days in advance for high-risk projects.

See the SES Supplemental Guidance on Disclosure for more information.

III. GBFF PPG ACTIVITIES

Component A: Preparatory Technical Studies & Reviews

The following technical studies and reviews will be conducted.

a. Desktop and field-based studies and data collection

This research should produce the background information required to prepare the ProDoc (including its Annexes and GBFF CEO Endorsement Request, including but not limited to the following:

- Development challenge and strategy (including threats, problems, and barrier assessment);
- Review of national policy and legislative frameworks;
- Problem and solution trees, assumptions and risks, developed in consultation with project stakeholders for a robust Theory of Change, Results Framework, and solid M&E plan;
- Review of relevant past and ongoing projects for lessons, including project evaluations; and
- Any other analyses required to address all comments on the PPG request received from GEF Secretariat.

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b. Gender Analysis

A gender analysis will be prepared to fully consider the different needs, roles, benefits, impacts, risks, differential access to and control over resources of women and men (including considerations of intersecting categories of identity such as age, social status, ethnicity, marital status, etc.) and to identify appropriate measures to address these and promote gender equality and women's empowerment. See the guidance available here. To note, the gender analysis will be mainstreamed into the ESMF, GRM and IPPF.

c. Social and Environmental Standards: Screening and Assessments

The social and environmental safeguards pre-screening was not a requirement to accompany the GBFF PPG request. Given the strong focus of GBFF programming direction on indigenous peoples and local communities, PPG-IPs must have sufficient funds for a ***Comprehensive*** **Stakeholder Engagement Plan** (for M, S and H risk projects) and must state that a **PPG Stakeholder Consultation Report** is prepared as part of the PPG-phase SES package. GBFF CEO ER packages must include: prepare SESP, ESMF (including relevant annexes), Grievance Redress Mechanism (GRM) and other SES instruments as relevant; IPPF (or locally-appropriate equivalents) since a Fund for Indigenous Community Conserved Areas (ICCAs) is targeted to be established; and SES QA and clearance of submission is mandatory (as with all GEF CEO ERs).

d. Identification of project sites

Based on the above reviews and through consultation with stakeholders, the targeted project demonstration sites will be identified. This will be particularly relevant for project outputs that involve site-specific interventions and the pilot testing of approaches. The project will pilot interventions in four coastal provinces along the Gulf of Thailand—Chanthaburi, Chonburi, Rayong, and Trat—which have been identified as biodiversity hotspots with significant mangrove ecosystems and active coastal communities. In these locations, the project will implement activities under Components 2 and 3, including mangrove restoration and conservation through the Thailand Mangrove Alliance (TMA) public–private partnership platform, the development of joint biodiversity management plans, and the mobilization of private investment to support ecosystem restoration. The project will also strengthen community-based enterprises and MSMEs by providing technical assistance, capacity building, and improved access to finance for nature-positive livelihoods such as eco-tourism, sustainable fisheries, and mangrove-based products. In addition, innovative biodiversity finance mechanisms—including concessional financing and blended finance instruments under the Innovative Biodiversity Finance Facility (IBFF)—will be piloted to attract investment from domestic financial institutions and the private sector. These interventions are expected to contribute to the restoration of approximately 3,577 hectares of mangroves and associated wetlands while generating lessons for replication and scaling up at the national level. It is also noted that another project targeting public–private partnerships (PPPs) and support to MSMEs has been submitted to the Joint SDG Fund. Should that project be approved, close coordination will be required to ensure synergies rather than duplication of efforts. Relevant activities under that initiative could also be considered as co-financing for this project, where appropriate, particularly for overlapping components related to private sector engagement, finance mobilization, and MSME support. These should include providing geographic coordinates, maps, and shapefiles for inclusion in the GBFF CEO Endorsement Request Form.

e. Financial planning, co-financing, and investment mobilized

While Co-financing is not a mandatory of GBFF programming. However, if co-financing has been included in the PPG request and or wish to mobilize co-financing during PPG, GEF's policy on co-financing will apply.

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Additionally, if GBFF is combined with GEF STAR in the PPG request, then it is mandatory to confirm co-financing. Co-financing and investment mobilized – as defined in the GEF Policy and Guidelines on co-financing - will be confirmed, and additional sources identified through a series of consultations with partners to ensure a coherent and sustainable financing package for the project, including post-GBFF grant phase to the extent possible. The GEF is seeking high co-financing/investment mobilized to GEF grant ratios with a preference for grants, loans, and other public investments over in-kind co-financing. The GEF also expects the Government to significantly support the costs associated with project execution (i.e., PMC).

f. Stakeholder analysis

Building on the initial identification of stakeholders in the PPG request, an appropriately scaled analysis of project stakeholders will be undertaken. This stakeholder analysis will provide the foundation for developing the project's Comprehensive Stakeholder Engagement Plan and facilitate the prioritization of engagement activities with particular stakeholder groups and individuals. Projects with screening categorizations of Moderate, Substantial or High will prepare a **comprehensive** stakeholder analysis. See the UNDP SES Guidance Note on Stakeholder Engagement.

g. Appraise and formulate the most appropriate project implementation and execution modality

The design of the project will comply with the UNDP's Program and Operations Policy and Procedures (POPP), Financial Regulations, Programme and Project Management and Quality Standards, and UNDP-GEF guidance (which will be provided by the RTA). A full assessment of the most appropriate project implementation and management arrangements will be conducted in full consultation with the RTA, UNDP Country Office, Resident Representative (or their Deputy), the relevant government coordinating agency, and the GEF OFP.

Project management arrangements must be discussed and agreed upon early in the PPG phase and an assessment and selection of the most appropriate Implementing Partner and/or third parties (Responsible Parties) with full capacity to execute the project must be carried out.
During the first two months of the PPG phase:

- For all potential Implementing Partners, carry out capacity assessments to assess their capacity to implement the project and assess all related risks. This must include HACT and PCAT assessments[1].
- Discuss the level of co-financing the Government and Implementing Partner can commit to this project. As per GEF Policy, the GEF is seeking high co-financing to GEF grant ratios and expects the Government to significantly support the costs associated with project execution (i.e., PMC) for this project.
- Based on these assessments, select and confirm the Implementing Partner for the project in consultation with all relevant stakeholders. The selected Implementing Partner must express willingness to serve as the Implementing Partner for the Project, and the concerned government must agree to use the selected Implementing Partner for the Project. (Please see UNDP's Policy on Selecting Implementing Partners).
- If the Implementing Partner does not have full capacity to execute the project, and execution support is likely needed, discuss with the UNDP Resident Representative whether Implementing Partner is the correct choice. If not, select another Implementing Partner, if possible. If that is not

[1] Including mandatory procurement assessment and construction assessment when applicable

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an option, explore alternative options for providing execution support via Responsible Parties (see UNDP Policy on Selecting Responsible Parties). Discuss with the Implementing Partner the role of the responsible parties in project execution and the execution support these parties could provide.

- The GEF Policies on Minimum Fiduciary Standards require a separation of functions between project implementation (i.e., oversight) and project execution (which generally includes managing and administrating the day-to-day activities of projects in accordance with the legal agreements with the GEF). Oversight activities are the primary responsibility of the GEF Agency (i.e., UNDP). In contrast, the execution activities are the responsibility of the Executing Entity (i.e., the Implementing Partner and/or Responsible Party in UNDP terminology). While UNDP policy offers the possibility for UNDP to provide execution support to NIM or execute the project under a DIM modality in case there is a government request for such support, GEF policy only allows GEF Agencies to step into an execution role in 'exceptional circumstances', which are assessed on a case-by-case basis. Hence, whenever a project involves GEF funding, the exceptional circumstances where there is a clear and well-founded rationale for UNDP to perform certain execution functions **should always be well documented and transparently disclosed, discussed, and agreed upon with the GEF Secretariat at the project concept stage before CEO Endorsement, regardless of whether the execution services are intended to be funded from the GEF grant or non-GEF resources.**
- Consult with the RTA on the latest guidance regarding UNDP providing support services to the Implementing Partner. If the costs for UNDP to provide support services are to be charged to the GEF project budget, the UNDP support services must be approved by the GEF Secretariat before CEO endorsement.
- Consult with CO Head of Procurement Unit/Operations Manager and Regional Procurement Advisors on envisaged procurement/contracting project procurement requirements, identified risks, development of optimal procurement strategies, development of project procurement plans and opportunities to incorporate sustainable procurement considerations and indicators to the project.

h. Other required studies

Below are additional studies/assessments that will be undertaken to gauge the feasibility of the proposed Project interventions:

- Policy and Institutional Baseline Analysis: Review of existing national policies, including a review of updated NBSAP (the 5th NBSAP) and National Biodiversity Finance Plan, regulatory frameworks, and institutional arrangements related to biodiversity conservation, nature-positive business practices, and biodiversity finance to identify gaps and opportunities for policy mainstreaming.
- Landscape Description and Situation Analysis of Target Provinces: Assessment of ecological, socio-economic, and institutional conditions in the target coastal provinces to inform the design of project interventions.
- Project Site Selection and Demonstration Site Identification Study: Development of criteria and methodology to identify and validate project demonstration sites for mangrove restoration, community-based enterprises, and innovative finance pilot interventions, including the preparation of geographic coordinates, maps, and shapefiles for inclusion in the GEF request form.

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- Innovative Biodiversity Finance Options and Feasibility Study: assessment of global best practices and the feasibility of applying innovative biodiversity finance mechanisms in Thailand, such as blended finance, biodiversity credits, public–private partnerships, and nature-related financial disclosure frameworks —complemented by:

    i. A rapid biodiversity MSME enterprise finance needs and market gap assessment including a scoping study on financing needs and barriers for community-based enterprises and MSMEs, including access to credit, financial literacy, risk factors, and opportunities for investment readiness support and accelerator/incubator mechanisms;
    ii. Community Enterprise and Sustainable Value Chain Assessment: conduct a baseline assessment of local communities and MSMEs, including livelihoods, dependence on biodiversity resources, gender and social inclusion considerations, technical capacity gaps, and identification of viable nature-positive enterprises and value chains to support community-based livelihoods and MSMEs.
    iii. A financial ecosystem and instrument landscape review to identify systemic gaps and additionality;
    iv. An independent technical suitability and feasibility assessment of proposed grant-based and catalytic financing instruments;
    v. A pre-scoping study to refine the concept and potential operational framework of the Innovative Biodiversity Finance Facility (IBFF);
    vi. An indicative pipeline snapshot of prospective enterprises, sectors, and financing demand;
    vii. An economic valuation of ecosystem services provided by mangroves and coastal ecosystems (e.g. carbon sequestration, coastal protection, fisheries productivity, tourism value) to support the business case for conservation and restoration investments;
    viii. A policy analysis on enabling mechanisms for biodiversity finance mobilization, including regulatory incentives, fiscal instruments, public-private cooperation frameworks, and options for strengthening national systems for tracking biodiversity expenditure and impacts;
    ix. An assessment of the Thailand Mangrove Alliance (TMA) platform and PPP readiness, including governance structures, legal frameworks, operational capacity, partnership mechanisms, and recommendations for strengthening the platform to mobilize biodiversity investments;
- Stakeholder Capacity Assessment (Scorecard Survey and Report): evaluation of the institutional and technical capacity of key stakeholders involved in project implementation.
- Private Sector Engagement and Due Diligence Assessment: Analysis of potential private sector partners, including risk assessment, mitigation measures, and communication strategies in line with UNDP private sector due diligence requirements.
- Gender and Social Inclusion Analysis: Assessment to ensure gender equality and inclusive participation of women, youth, and local communities in project activities.
- Knowledge Management (KM) Approach of the project/program, including a budget, timeline and specific knowledge and learning outputs/deliverables as well as plans to learn from relevant projects, initiatives, evaluations and best practice during project/program implementation, and to explain how the KM Approach will contribute to the project's overall impact. At this stage, the Knowledge Management Approach will include specific details on processes to capture, assess and document and share, in a user-friendly manner, information, lessons, best practices, and expertise generated during implementation; plans for strategic communications; and knowledge

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outputs to be produced and shared with stakeholders. KAP scores also need to be established either during the PPG or during the first year of implementation of the project.

Component B: Formulation of the UNDP-GEF Project Document, GBFF CEO Endorsement Request, and Mandatory and Project Specific Annexes

Based on the technical studies and reviews undertaken under **Component A**, the GBFF CEO Endorsement Request will be prepared. See additional guidance notes below.

The GBFF PPG Team Leader will be responsible for consolidating and finalizing all required materials.

a. Comprehensive Stakeholder Engagement Plan:

At a minimum, the Plan must include the following elements:

- Stakeholders who have been and will be engaged (based on stakeholder analysis), including potentially marginalized or disadvantaged groups/individuals and stakeholders that will be involved in particular project outputs/activities (the 'who');
- Key stakeholder objectives and interests (the 'why');
- Steps and actions to achieve meaningful consultation and inclusive participation, including information dissemination and any special measures required to ensure inclusive participation of marginalized disadvantaged groups/individuals the dissemination of information (the 'how');
- Breadth and depth of stakeholder engagement throughout the project cycle and decisions that need to be made through stakeholder engagement (the 'what');
- Timeline for engagement activities and how they will be sequenced, including information disclosure (the 'when');
- Indicators of stakeholder engagement and monitoring plan on progress and issues that arise;
- Roles and responsibilities for ensuring effective stakeholder engagement through the implementation of the Plan, including the role of stakeholder representatives and whether stakeholder engagement facilitators are required; and
- Resource requirements and associated budget.
- A project-level Grievance Redress Mechanism (GRM) will be described in the Comprehensive Stakeholder Engagement Plan and established in the first year of project implementation. See the UNDP guidance on GRM and sample TOR.

See the UNDP SES Toolkit, which contains guidance on Stakeholder Engagement, the standard SEP template, and the GEF guidelines on stakeholder engagement.

b. Gender Action Plan and Budget

The gender analysis conducted in **Component A,** along with relevant findings from the stakeholder analysis and background studies, will form the basis of a Gender Action Plan to guide gender mainstreaming during project implementation. The Gender Action Plan must include indicators, targets, timeframe, responsible party, and budget, which are linked to the project's components/outputs, outcomes, and activities. As part of preparing the Gender Action Plan, indicators should be proposed for inclusion in the Results Framework to facilitate the monitoring of the proposed gender mainstreaming actions.

See the guidance available here.

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c. Social and Environmental Standards: Screening and Management Measures

In line with the assessments conducted during **Component A** (above) and UNDP's Social and Environmental Standards (SES) policy and all associated SES Guidance Notes, the SESP will be prepared. All risks identified in the SESP will be reflected in the risk table and risk section of the ProDoc, and in the Project's Risk Register.

The SES Framework(s) will identify the required management plans that will be prepared during project implementation.

See the SES Guidance Note on Assessment and Management for further guidance. Please contact UNDP for additional information as needed.

d. GEF and LDCF/SCCF Core Indicators

The CEO endorsement-stage data for the relevant Core Indicators and sub-indicators will be prepared. For GEF Trust Fund projects, the completed GEF-8 Core Indicators Worksheet - with both the original PIF-stage data and the CEO endorsement-stage data—will be annexed to the GBFF CEO Endorsement Request Form. LDCF/SCCF projects will use the CCA Results Framework worksheet.

The relevant Core Indicators will be included in the project's Results Framework at the Objective level, where appropriate. See the GEF Guidelines on Core Indicators and Sub-indicators.

e. Completion of the required official endorsement letters

These letters include the official letters on the co-financing guarantee(s) from participating government institutions, bilateral development partners, multilateral development partners, NGOs, the private sector, or others who wish to provide cash or in-kind contributions to the project. Updated GEF OFP endorsements letters are also required if the requested GEF grant amount has changed since PIF/PFD/PPG request approval.

f. Mandatory Annexes

In addition to the documents listed above, the following Annexes should be prepared by the GEF PPG team:

- Project map and Geospatial Coordinates of project sites
- Multi-Year Work Plan
- Monitoring Plan
- UNDP Risk Register
- Overview of Technical Consultancies
- GEF-8 Taxonomy
- Initial Project Procurement Plan and TORs for key Project staff

Upon request from the RTA during the PPG implementation, the PPG team may be required to prepare additional annexes.

Project Management Arrangements

Based on the stakeholder analysis and consultations undertaken in **Component A** above, agreement(s) on project management and governance arrangements—including roles, responsibilities, and accountabilities of lead and partner Agencies—will be secured early in the project development phase

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and will be fully detailed in the GBFF CEO Endorsement Request. Standard text from the governance and management arrangement from the GEF-8 template should never be removed.

Component C: Validation Workshop and Report

A validation workshop will be held with relevant stakeholders to present, discuss and validate the project activities and the final draft of the UNDP-GEF project document, and the GBFF CEO Endorsement Request Form. A validation workshop report will be prepared for projects with an overall safeguards risk rating of moderate, substantial or high.

IV. TOTAL BUDGET AND WORK PLAN FOR GBFF PPG

Quantum Project ID:	01005815	**Project Title:**	PIMS9994_PPG GBFF Thailand_ProNature
Quantum Award ID:	1547039	**Award Title:**	THA_GEF_01005815_PPG GBFF
Business Unit:	UNDP-THA		
PIMS number:	PIMS-9994		
Implementing Partner:	United Nations Development Programme		

GEF Outcome/Quantum Activity	Responsible Party	Fund ID	Donor Name	Quantum Budgetary Account Code	QUANTUM Budget Description	Amount US$	Budget Notes
Project preparation grant to finalize the UNDP-GBFF project document for Project: Promoting Partnerships and Nature-Positive Economy for KM-GBF Implementation in Thailand from Policy to Action (ProNature)	**UNDP**	**62182**	**GBFF**	71200	International Consultants	60,500	1
				71300	Local Consultants	57,500	2
				71600	Travel	15,000	3
				72500	Supplies	2,000	4
				75700	Trainings, workshops	15,000	5
					PROJECT TOTAL	**150,000**	

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Budget Note No.	**Budget Note** *Budget note should detail the use of the use of the budget. For example, outputs/deliverables of the consultants must be clear. Include cost breakdown and calculation basis (e.g., daily fee and number of days/weeks, unit cost and quantity)*
1	Costs of International Consultants who will form part of the Project Preparation Grant (PPG) Team - International Project Development Specialist: USD 42,500 (daily USD 850 x 50 working days) - International Safeguards Specialist: USD 18,000 (daily USD 600 x 30 working days) Total: USD 60,500
2	Costs of National Consultants who serve as PPG Team members - National Technical Expert/BD Financing Specialist: USD 32,500 (daily US$650 x 50 working days) - National Gender Specialist: US$12,500 (daily USD 500 x 25 working days) - National Stakeholder Engagement and Safeguards Specialist: USD 12,500 (daily US$500 x 25 working days) Total: USD 57,500
3	Costs for travels related with stakeholder consultations Total: USD15,000
4	Costs for supplies Total: USD2,000
5	Costs for trainings and workshops necessary for stakeholder consultations, including inception and validation workshop Total: USD15,000

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V. GBFF PPG ACTIVITIES TIMEFRAME AND BUDGET

PPG Activity	Month 1	Month2	Month 3	Month 4	Month 5	Month 6	Month 7	Month 8	Month 9	Month 10	Month 11	Mont1h 12	Budget (USD)
Component A: Technical Studies, etc.													**60,000**
SESP													
ESMF													
IPPF													
Livelihood Action Framework (LAF)													
Comprehensive Stakeholder Engagement Plan													
Stakeholder Consultation Report													
Gender Analysis and Action Plan													
Policy and Program/project baseline analysis													
Component B: Formulation of GBFF ProDoc, GBFF CEO Endorsement Request Form, etc.													**80,000**
UNDP Project Document (ProDoc) Main text.													
Total Budget and Work Plan													
Maps and Coordinates (Annex)													
Multi Year Work plan (Annex)													
UNDP Risk Register (Annex)													
TOR for Key Staff and Consultancies (Annex)													
Climate Screening (Annex)													
GBFF CEO Endorsement Request													
Component C: Inception Workshop & Validation Workshop													**3,000**
Inception Workshop													
Validation Workshop													
Validation Report													
Delivery of Final Outputs													**7,000**
BPPS review/comments and clearance of Full document package													

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GEF Review/comments and clearance of full document package submission for GBFF CEO Endorsement																								
Deadline for GBFF CEO Endorsement																								

Review of 1 Draft Submission (Comments and response)		Review of 2 Draft Submission (Comments and response)		RTA/NECW Review of full package (Comments and response)	

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VI. MANDATORY ANNEXES

Annex 1: GEF CEO PPG Approval Letter

Claude Gascon
Interim CEO

March 24, 2026

Ms. Nancy Bennet
GEF Executive Coordinator
United Nations Development Programme (UNDP)
New York City, USA

Dear Ms. Bennet,

I am pleased to inform you that I have approved your request for Project Preparation Grant for the project concept detailed below.

Decision Sought:	Project Preparation Grant Approval
GEFSEC ID:	12161
Agency(ies):	UNDP
Agency ID:	9994 - UNDP
Focal Area:	Biodiversity
Project Type:	GBFF project
Country(ies):	Thailand
Name of Project:	Promoting Partnerships and Nature-Positive Economy for KM-GBF Implementation in Thailand from Policy to Action (ProNATURE)
Indicative GEF Project Financing:	$5,166,904
Indicative Agency Fee:	$490,856
PPG:	$150,000
PPG Agency Fee:	$14,250
Funding Source:	Global Biodiversity Framework Fund

Break-down:		Project Financing Amount (US$)	Agency Fee (US$)				Total Project Cost (US$)
Agency	Trust Fund		20% committed at CEO endorsement (US$)	50% to be committed at first disbursement (US$)	30% to be committed at mid-term review (US$)	Total Agency Fee (US$)	
UNDP	GBFF	5,166,904	98,171	245,428	147,257	490,856	5,657,760
Total		**5,166,904**	**98,171**	**245,428**	**147,257**	**490,856**	**5,657,760**

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Ms. Bennet

Break-down:		Project Preparation Grant (US$)	PPG Fee (US$)	Total (US$)
Agency	Trust Fund			
UNDP	GBFF	150,000	14,250	164,250
Total		**150,000**	**14,250**	**164,250**

This PPG approval is based on the understanding that the project is in conformity with the Global Biodiversity Framework Fund strategies and policies. Please ensure to submit the CEO Endorsement request within 9 months of the approval of this PPG.

Sincerely,

Claude Gascon
Interim Chief Executive Officer

Copy to: Country Operational Focal Point(s), Trustee

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Annex 2: Draft Terms of Reference (TORs) of Consultants Financed by the Project Preparatory Grant (GBFF PPG)

Position, Type, and Cost	Role, Deliverables and Qualifications
Consultant 1: International Project Development Specialist (GBFF PPG Team Leader) **Type:** IC **Cost per person:** USD 42,500 **Number of person days needed:** 50 days	**Role** The International Project Development Specialist will be the GBFF PPG Team Leader and will be responsible for quality assurance and timely preparation of all reports and documentation, including the finalized UNDP Project Document (ProDoc) and GBFF CEO Endorsement Request, with all mandatory and project specific Annexes and supporting documentation. S/he will be responsible for managing all consultants on the GEF PPG Team and coordinating the Team's work. S/he will work under the guidance of UNDP and UNCDF. **Responsibilities and Deliverables** 1) Management of the GBFF PPG Team a. Define and submit a detailed methodology and work plan in consultation with the other consultants with clear delegation of responsibilities for the International Consultant (IC) and National Consultants (NCs); b. Ensure that project development is participatory, gender-responsive and based on extensive stakeholder engagements; and c. Verify and ensure that all project components are technically sound and cost effective. 2) Preparatory Technical Studies and Reviews (Component A): With inputs from the national consultants, as detailed in their respective TORs: a. Provide guidance to the National Technical Lead on the conduct of baseline situational analysis; b. Compile baseline/situational analysis for the full-size project (FSP). This will include a precise definition of baseline projects, activities, budgets, goals, and co-financial links to GBFF outcomes; a definition of GEF incremental value per outcome and output; and a presentation of results of the incremental cost-analysis in matrices as appropriate; c. Lead and oversee the stakeholder analysis and consultations, with support from national consultants, and ensure that they are complete and comprehensive, including consultations with the private sector and tourism associations and bodies; d. Ensure the preparation of the gender analysis and ensure its findings are meaningfully integrated into the project's strategy, theory of change, and results framework; e. Ensure action points, including risk assessments, from the SES screening are fully implemented during the PPG, and update that screening in an iterative process throughout the PPG, and as appropriate; f. Oversee the identification of the project sites, with documentation of selection criteria and making sure that geo-referenced data and maps are clearly presented both for targeted protected areas and broader landscapes, as applicable; g. Oversee the preparation of a Knowledge Management approach for the project;

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	3) Oversee the consultations with partners regarding financial planning; and a. Ensure completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs. b. Ensure timely submission of draft documentation as agreed with the UNDP Country Office and the national consultant/s 4) <u>Formulation of the ProDoc, GBFF CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B)</u>: With inputs from the national consultants, as detailed in their respective TORs, and based on international best practice: a. Develop, present, and articulate the project's theory of change; b. Develop the Results Framework in line with UNDP-GEF policy; c. Develop a detailed Monitoring and Evaluation Plan and Budget; d. Oversee and ensure the preparation of a comprehensive Stakeholder Engagement Plan (including section on the project's Grievance Redress Mechanism); e. Oversee and ensure the preparation of a Gender Action Plan and Budget; f. Oversee and ensure the updating of the SESP based on assessments undertaken during Component A, and ensure the development of required environmental and/or social management plan(s) as required; g. Oversee the preparation of the required GEF tracking tools (if required) and GEF Core Indicators and ensure these are supported by robust and validated data; h. Prepare the indicative procurement plan; i. Secure and present agreements on project execution and management arrangements and ensure that the project aligns to UNDP-GEF guidance on UNDP execution support; j. Synthesize all analyses, studies, etc. that are prepared under Components A and B to produce the draft UNDP-GEF ProDoc, the draft GBFF CEO Endorsement, and all mandatory and project specific Annexes, using the templates.[2] k. Ensure timely submission of draft documentation as agreed with the UNDP Country Office and the national consultant/s 5) <u>Inception and Validation Workshop (Component C)</u>: a. With support from the National Technical Lead, support the inception workshop and co-lead the validation workshop to present, discuss and validate the final draft GBFF CEO Endorsement Request Form and mandatory and project specific annexes, with a special focus on the SESP and any management plans; b. Co-lead the validation workshop; and c. Ensure completion of Validation Workshop Report. 6) <u>Final Deliverables</u>: a. Consolidation of all technical and consultation inputs and comments including from national stakeholders, UNDP, GEF Secretariat, STAP and GEF Council, into a well written and concise with all required sections and Annexes; b. Completion of the GBFF CEO Endorsement Request; and c. Finalized SESP (and SES management plans as required);

[2] Please verify with the UNDP-GEF team that the correct templates are being used.

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	d. All documentation from GBFF PPG (including technical reports, meeting minutes, etc.). e. Validation Workshop Report. **Qualifications** ▪ Master's degree or higher in a relevant field, such as natural resource management, environmental management, environmental economics, development studies or any other related areas. ▪ Minimum 10 years of demonstrable experience in the technical area of sustainable financing and in preparing high quality project documents, particularly for UNDP and GEF projects; ▪ Excellent written and oral communication skills in English; ▪ Demonstrated understanding of the GEF rationale and procedures, and demonstrated experience in formulation of GEF-funded project proposals, using the logical framework and the results-based management approaches; ▪ Knowledge of and experience in Country Context would be an asset ▪ Experience working in Innovative Biodiversity Finance on related initiatives highly desired.
<u>Consultant 2:</u> ***National Technical Expert/BD Financing Specialist*** **Type:** IC **Cost per person:** USD32,500 **Number of person days needed:** 50 day	**Responsibilities and Deliverables** The National Technical Expert /BD Financing Specialist will serve as the local counterpart of the International Project Development Specialist. She/he will work closely with the International Project Development Specialist in designing, planning and executing PPG activities at the national level. 1) <u>Management of the GBFF PPG Team.</u> Working with the Team Leader, (s)he will a. Provide inputs to the detailed methodology and work plan in consultation with the International Project Development Specialist with clear delegation of responsibilities for whole PPG Team; b. Ensure that project development is participatory, gender-responsive and based on extensive stakeholder engagements; and c. Verify and ensure that all project components are technically sound and cost effective. d. Ensure that inputs from the National Consultants are consolidated and provided on a timely manner to the International Project Development Specialist. 2) <u>Preparatory Technical Studies and Reviews (Component A)</u>: a. Conduct of baseline situational analysis, including policy, institutional, regulatory and legislative gaps assessment related to biodiversity conservation, nature positive economic development, and biodiversity finance, aligned with Thailand's 5th NBSAP, National Biodiversity Finance Plan, KM-GBF targets, and relevant sectoral polices, to inform policy design and safeguard assessments e.g. SESA b. Lead in the identification and validation of the project sites, in the target coastal provinces, documenting transparent selection criteria and compiling geo-referenced spital data, maps and concise ecological, socio-economic, and governance information to support Landscape description and feasibility analysis; c. Conduct stakeholder mapping and institutional analysis, identifying key national and local actors (government, private sector, financial institutions, NGOs, community groups, academia), assessing roles, capacities, interests, and potential contributions to project implementation and PPP engagement.

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	d. Complete an Innovative Biodiversity Finance Options and Feasibility Study complemented by: ▫ A rapid biodiversity MSME enterprise finance needs and market gap assessment including a scoping study on financing needs and barriers for community-based enterprises and MSMEs, including access to credit, financial literacy, risk factors, and opportunities for investment readiness support and accelerator/incubator mechanisms; ▫ Community Enterprise and Sustainable Value Chain Assessment: conduct a baseline assessment of local communities and MSMEs, including livelihoods, dependence on biodiversity resources, gender and social inclusion considerations, technical capacity gaps, and identification of viable nature-positive enterprises and value chains to support community-based livelihoods and MSMEs. ▫ A financial ecosystem and instrument landscape review to identify systemic gaps and additionality; ▫ An independent technical suitability and feasibility assessment of proposed grant-based and catalytic financing instruments; ▫ A pre-scoping study to refine the concept and potential operational framework of the Innovative Biodiversity Finance Facility (IBFF); ▫ An indicative pipeline snapshot of prospective enterprises, sectors, and financing demand; ▫ An economic valuation of ecosystem services provided by mangroves and coastal ecosystems (e.g. carbon sequestration, coastal protection, fisheries productivity, tourism value) to support the business case for conservation and restoration investments; ▫ A policy analysis on enabling mechanisms for biodiversity finance mobilization, including regulatory incentives, fiscal instruments, public-private cooperation frameworks, and options for strengthening national systems for tracking biodiversity expenditure and impacts; ▫ An assessment of the Thailand Mangrove Alliance (TMA) platform and PPP readiness, including governance structures, legal frameworks, operational capacity, partnership mechanisms, and recommendations for strengthening the platform to mobilize biodiversity investments; e. Ensure completion of any additional technical studies required for the preparation of the full Project Document (ProDoc), as agreed with UNDP and the Executing Entity. f. Ensure completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs. g. Ensure timely preparation and submission of draft documentation as agreed with the UNDP Country Office and the International PPG Team Lead 3) <u>Formulation of the ProDoc, GBFF CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B)</u>: Provide inputs on the following: a. Provide substantial input to the development, presentation, and articulation of the project's theory of change; b. Provide substantial input to the development of the Results Framework in line with UNDP-GEF policy; c. Provide substantial input to the development of a detailed Monitoring and Evaluation Plan and Budget, including data collection methodologies and reporting arrangements; d. Preparation of the required GEF tracking tools (if required) and GEF Core Indicators and ensure these are supported by robust and validated data;

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	e. Support the preparation of the indicative procurement plan, as relevant to technical components and financing mechanisms; f. Capacity assessment of key implementing partners and stakeholders, identifying training needs and institutional strengthening requirements; g. Contribute to the design of sustainability and scaling strategies, including mechanisms for continued financing, institutional ownership and replication potential; h. Support the integration of safeguards considerations, gender equality, stakeholder engagement plans and risk mitigation measures into the ProDoc. i. Synthesis of all analyses, studies, etc. that are prepared under Components A and B to produce the draft UNDP-GEF ProDoc, the draft GBFF CEO Endorsement, and all mandatory and project specific Annexes, using the templates.[3] j. Ensure timely submission of draft documentation as agreed with the UNDP Country Office and the national consultant/s 4) <u>Validation Workshop (Component C)</u>: a. Co-Lead the validation workshop to present, discuss and validate the final draft GBFF CEO Endorsement Request Form and mandatory and project specific annexes, with a special focus on the SESP and any management plans; b. Work with the International Project Development Specialist on all necessary revisions that arise during the workshop; and c. Ensure completion of Validation Workshop Report. 5) <u>Final Deliverables</u>: a. Landscape description and Situational analysis, including geo-reference baseline data, policy, institutional, regulatory and program/project analysis for the target provinces to support project design, safeguards, and justification; b. Assessment Report on emerging global and regional practices and feasibility biodiversity finance solutions, including PPP financing models, blended finance approaches, concessional instruments, impact investment, and other mechanisms relevant to mangrove restoration and community-based enterprise; c. Implementation Strategy on the roll-out of Micro, Small and Medium Enterprises Accelerator/Incubator Hubs for BD Investments, including investment readiness support capacity-building needs, and linkage to financial institutions and value chains; d. Policy analysis on institutional analysis on enabling mechanisms for BD finance mobilization, including options for institutionalizing biodiversity expenditure tracking and Impact Monitoring, strengthening financing framework e.g. trust funds or similar mechanism, and improving governance arrangement for sustainable financing; e. Organizational and Capacity Assessment of key implementing institutions and platforms e.g. BEDO, DMCR, Thailand Mangrove Alliance and relevant partners, identifying human resource, technical, and financial requirements for implementing biodiversity financing mechanisms and PPP initiatives; f. In collaboration with the International Project Development Specialist, - Consolidation of all technical and consultation inputs and comments including from national stakeholders, UNDP, GEF Secretariat, STAP and GEF Council, into a well written and concise with all required sections and Annexes; - Completion of the GBFF CEO Endorsement Request;

[3] Please verify with the UNDP-GEF team that the correct templates are being used.

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	- Compilation and submission of all documentation produced under GBFF PPG (including technical reports, meeting minutes, etc.). **Qualifications** • Master's degree or higher in a relevant field, such as natural resource management, environmental management, environmental economics, development finance/ studies or any other related areas. • Minimum 10 years of demonstrable experience in the technical area of sustainable financing and in preparing high quality project documents, particularly for UNDP and GEF projects; • Excellent written and oral communication skills in English; • Demonstrated understanding of the GEF rationale and procedures, and demonstrated experience in formulation of GEF-funded project proposals, using the logical framework and the results-based management approaches; • Knowledge of and experience in Country Context would be an asset
<u>Consultant 3.</u> ***International Safeguards Specialist*** **Type:** IC **Cost per person: USD 18,000** **Number of person days needed:** 30 days	**Role** The International Safeguards Specialist will lead the quality assurance of the development of mandatory project Annexes related to application of social and environmental safeguards to ensure they are in adherence to UNDP's SESP and specific requirements. The consultant will advise and guide the project development team on the application of these standards during the project development phase as appropriate and ensure that SES principles are fully embedded in the project's Gender Action Plan and the Comprehensive Stakeholder Engagement Plan. S/he will work in close collaboration with the PPG team, in particular the PPG Team Leader and the National Gender Specialist and National Stakeholder Engagement and Safeguards Specialist. The International Safeguards Specialist will lead the development of the core SES documents such as the ESMF, LAF and IPPF and will ultimately undertake quality assurance on the SES-related documents that will be completed by the National Stakeholder Engagement and Safeguards Specialist. **Deliverables** 1. <u>Preparatory Technical Studies and Reviews (Component A): Prepare inputs and support the required analyses/studies, as agreed with the GEF PPG Team Leader, including:</u> a. Advise on stakeholder and local community engagement needs for the PPG and review and quality assure the Gender Analysis Action Plan and Comprehensive Stakeholder Engagement Plan (including section on the project's Grievance Redress Mechanism) for the PPG including appropriate mechanisms for the application of Free, Prior and Informed Consent (FPIC), as needed; b. Support the assessment of the potential impacts of the project on rights and interests, lands, territories, resources, and traditional livelihoods of Indigenous Peoples/ethnic minorities and determine when FPIC applies in accordance with national contexts and preferences in line with UNDP requirements; c. Provide guidance to the National Gender and Safeguards Specialist to collect the needed information and documentation to prepare drafting of the SESP, ESMF (and related Annexes), LAF, IPPF and other required Safeguard documentation; 2. <u>Formulation of the ProDoc, GBFF CEO Endorsement Request and Mandatory Annexes as well as project specific annexes (Component B):</u>

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	a. Provide specialist inputs, advice, review and/or feedback on relevant sections of the ProDoc and CEO Endorsement Request to ensure alignment with and consideration of project safeguards. b. Develop the Social and Envrionmental Screening Procedure (SESP), Environmental and Social Management Framework (ESMF) and relevant annexes, including Indigenous Peoples Planning Framework (IPPF) and Livelihood Actions Framework (LAF); c. Provide specialist inputs, advice, review and/or feedback to the *National Stakeholder Engagement and Safeguards Specialist* as well as quality assure (and finalize as needed) the Comprehensive Stakeholder Engagement Plan and Grievance Redress Mechanism (GRM); d. Provide specialist inputs, advice, review and/or feedback to the *National Gender Specialist* as well as quality assure (and finalize as needed) the Gender Analysis and Action Plan; 3. <u>Validation Workshop (Component C):</u> a. Contribute to the validation workshop; and a. Support all necessary revisions that arise during the workshop, as appropriate. 4. <u>Final Deliverables:</u> a. Finalized and quality assured Social and Environmental Screening Procedure; b. Finalized and quality assured ESMF and relevant annexes including IPPF; c. Finalized and quality assured Livelihood Action Framework (LAF); d. Finalized and quality assured Gender Analysis and Action Plan; e. Finalized and quality assured Comprehensive Stakeholder Engagement Plan (including section on the project's Grievance Redress Mechanism); f. Finalized and quality assured Indigenous Peoples Planning Framework; g. Appropriate inputs to the final UNDP-GEF project document, GBFF CEO Endorsement Request based on guidance from the PPG Team Leader. **Qualifications** • Master's degree or higher in a relevant field related to international development, such as social inclusion, gender and development, social and environmental sustainability, indigenous peoples engagement or any other related fields; • At least 10 years of experience related to social and environmental standards and impact assessment in an international development context; • Specific technical expertise in the SES thematic area of Social and Environmental Screening and Assessment, with prior experience in indigenous peoples, ethnic minorities and social inclusion an asset; • Prior experience in the safeguards assessments and processes of international organizations and donors, including UNDP; • Experience in advising on and/or completing consultations with Indigenous Peoples, FPIC, protection of cultural heritage, and/or community engagement is highly desired; • Experience with project development and results-based management methodologies is highly desired; • Excellent analytical, writing, advocacy, presentation, and communications skills are required; • Excellent written and oral communication skills in English; ▪ Prior experience in application of National safeguard standards is an asset.

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Consultant 4: ***National Gender Specialist*** **Type:** NC **Cost per person: USD12,500** **Number of person-days needed:** 25	**Role** The National Gender Specialist will develop the Gender Analysis and Action Plan. The consultant will be a gender expert with experience on in-depth gender analysis. **Deliverables** 1) Preparatory Technical Studies and Reviews (Component A): Prepare inputs and support the required analyses/studies, as agreed with the GEF PPG Team Leader, including: b. Provide inputs on the UNDP Social and Environmental Screening Procedure (SESP), and support the detailed assessment of all project risks including consultations with local stakeholders from a gender perspective; c. Prepare the gender analysis and action plan and work closely with the GEF PPG Team Leader to ensure its findings are meaningfully integrated into the project's strategy, theory of change and results framework; d. Advise on gender responsive stakeholder analysis and consultations and ensure that they are complete and comprehensive; and e. Support the completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs as guided by the PPG Team Leader. f. Ensure timely submission of draft documentation as agreed with the UNDP Country Office and International PPG Team Lead. 2) Formulation of the ProDoc, GBFF CEO Endorsement Request and Mandatory Annexes as well as project specific annexes (Component B): a. Provide inputs to the SESP, based on assessments undertaken during Component A and detailed development of project interventions, and identify management measures to mitigate risks to be incorporated into the GBFF CEO Endorsement Request ; b. Using the findings from the gender analysis, provide inputs to the project's results framework and theory of change; ensure gender considerations are integrated into the project's theory of change; c. Provide inputs for the development of the Stakeholder Engagement Plan to ensure it is Socially Inclusive and Gender Responsive; d. Prepare the Gender Action Plan and Budget, to ensure gender mainstreaming including at project demonstration sites ; e. Support the agreements on project management arrangements and ensure that gender and safeguards are adequately incorporated into these arrangements. f. Ensure timely submission of draft documentation as agreed with the UNDP Country Office and International PPG Team Lead. 3) Validation Workshop (Component C): a. Contribute to the validation workshop; and b. Support all necessary revisions that arise during the workshop, as appropriate. 4) Final Deliverables: b. Gender Analysis and Action Plan c. Appropriate inputs to the comprehensive Stakeholder Engagement Plan including on gender-responsive consultation and consultations with local communities and any Indigenous Peoples or Ethnic Minorities present within the demonstration landscape; d. Appropriate inputs to the final UNDP-GEF project document, GBFF CEO Endorsement Request based on guidance from the PPG Team Leader.

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	Qualifications ▪ Master's degree or higher in a relevant field, such as gender studies, gender and development, women and environment, or women and sustainable development; ▪ Minimum 7 years of demonstrable experience in the technical area of gender mainstreaming, community development, and social and environmental safeguards risk assessment and mitigation; ▪ Experience carrying out participatory gender analysis; experience collecting and formulating gender responsive indicators and sex-disaggregated data and preparing gender responsive project analysis; developing gender action plans; ▪ Demonstrated understanding of the links between sustainable development, social and gender issues; ▪ Demonstrated experience working on gender-responsive policy and programmatic issues with national and local governments and civil society organizations including community organizations; ▪ Experience with project development and results-based management methodologies is highly desired; ▪ Excellent analytical, writing, advocacy, presentation, and communications skills are required; and ▪ Excellent written and oral communication skills in English and fluency in Thai.
<u>Consultant 5:</u> ***National Stakeholder Engagement and Safeguards Specialist*** **Type:** NC **Cost per person: USD 12,500** **Number of person-days needed: 25**	**Role** The National Stakeholder Engagement and Safeguards Specialist will develop mandatory Project Annexes related to application of social and environmental safeguards and support adherence of project development to UNDP's SESP and specific requirements, as appropriate. The Consultant will be a social inclusion expert with experience in national and local government, and community engagement. **Deliverables** 1. <u>Preparatory Technical Studies and Reviews (Component A): Prepare inputs and support the required analyses/studies, as agreed with the GEF PPG Team Leader, including:</u> a. Support the *International Safeguards Specialist* in completing the UNDP Social and Environmental Screening Procedure (SESP), and support the detailed assessment of all project risks including consultations with local stakeholders from a gender perspective; b. Prepare the Comprehensive Stakeholder Engagement Plan (including section on the project's Grievance Redress Mechanism) for the PPG, ensuring that an inclusive and participatory approach is undertaken; c. Lead and facilitate the stakeholder consultations of the PPG phase, both at the national and local levels; d. Assess presence of Indigenous Peoples/ethnic minorities within project landscapes and their interests. If present, assess potential impacts of the project on rights and interests, lands, territories, resources, and traditional livelihoods and determine when FPIC applies in accordance with national contexts and preferences. Conduct consultations with communities at demonstration landscape to assess level of understanding and capacity to give consent and identify community preferences for FPIC process. Based on these assessments, and if relevant, integrate relevant matters as needed including FPIC into project design and into the comprehensive Stakeholder Engagement Plan and IPPF; e. Conduct relevant surveys/information collection necessary for the preparation of safeguards management plans, including the Environmental and Social

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	Management Framework (ESMF) and relevant annexes, including impacts of policies on proposed activities, GRM and Indigenous Peoples Planning Framework (IPPF) etc. g. Identify the capacity-building needs (skills, tools and resources) of the relevant government, private sector, non-government organizations and community-level organizations for implementation of the Thailand's Biodiversity Financing Program; h. Based on assessments and analysis, make preliminary recommendations for training/capacity strengthening programme targeting decision-makers at the national and sub-national levels to develop, implement and enforce laws and policies for the Thailand' BD Financing Program; i. Lead and oversee the identification of opportunities for private sector engagement and co-financing; j. Conduct Private Sector Due Diligence (PSDD) assessment for potential private sector partners and co-financiers; k. Support the completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs as guided by the PPG Team Leader. l. Ensure timely submission of draft documentation as agreed with the UNDP Country Office and International PPG Team Lead. 2. <u>Formulation of the ProDoc, GBFF CEO Endorsement Request and Mandatory Annexes as well as project specific annexes (Component B):</u> a. Support the *International Safeguards Specialist* to develop and finalize the SESP, based on assessments undertaken during Component A and detailed development of project interventions, and identify management measures to mitigate risks to be incorporated into the GBFF CEO Endorsement Request; b. Prepare the Comprehensive Stakeholder Engagement Plan (including section on the project's Grievance Redress Mechanism) for Project Implementation; c. Prepare the Capacity Scorecard Report ; d. Support the *International Safeguards Specialist* in the development of the necessary safeguards management plans, including the Social and Environmental Screening Procedure (SESP) Environmental and Social Management Framework (ESMF), Indigenous Peoples Planning Framework (IPPF), Livelihood Action Framework (LAF) and relevant annexes. e. Provide inputs to the project's results framework and theory of change; f. Develop a Knowledge Management (KM) Approach for the project Support the agreements on project management arrangements and ensure that safeguards are adequately incorporated into these arrangements; g. With the CO, secure co-financing letters; h. Ensure timely submission of draft documentation as agreed with the UNDP Country Office and International PPG Team Lead. 3. <u>Validation Workshop (Component C):</u> a. Contribute to the validation workshop; and b. Support all necessary revisions that arise during the workshop, as appropriate. 4. <u>Final Deliverables:</u> a. Finalized Social and Environmental Screening (SESP) b. Comprehensive Stakeholder Engagement Plan (including section on the project's Grievance Redress Mechanism) c. Capacity Scorecard Report and KAP baseline surveys;

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	d. Safeguards management plans, including the Environmental and Social Management Framework (ESMF) and relevant annexes, GRM, Indigenous Peoples Planning Framework (IPPF) and Livelihood Action Framework (LAF) among others; e. PSDD Report, including accomplished Risk Assessment Tools (RATs), risk mitigation and communications strategies f. Appropriate inputs to the final UNDP-GEF project document, GBFF CEO Endorsement Request based on guidance from the PPG Team Leader. **Qualifications** ▪ Master's degree or higher in a relevant field, sustainable development, community development, sustainable financing, Environment and Natural Resources (ENR) management, among others; ▪ Minimum 7 years of demonstrable experience in the technical area of community development, and social and environmental safeguards risk assessment and mitigation; ▪ Experience carrying out participatory gender analysis; experience collecting and formulating gender responsive indicators and sex-disaggregated data and preparing gender responsive project analysis; developing gender action plans; ▪ Prior experience in the safeguards assessments and processes of international organizations and donors, such as World Bank, UNDP, etc; ▪ Demonstrated understanding of the links between sustainable development, social issues; ▪ Demonstrated experience working on policy and programmatic issues with national and local governments and civil society organizations including community organizations; ▪ Demonstrated experience in carrying out consultations with Indigenous Peoples, FPIC, protection of cultural heritage, and/or community engagement is highly desired; ▪ Experience with project development and results-based management methodologies is highly desired; ▪ Excellent analytical, writing, advocacy, presentation, and communications skills are required; and ▪ Excellent written and oral communication skills in English and fluency in Thai.